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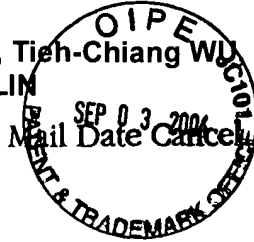


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yi-Nan CHEN, Tieh-Chiang WU
Feng-Chuan LIN

Filed: 7/16/2003

Appl. No.: 10/620,869



Examiner: Trinh, Hoa B

Conf. No.: 9126

Art Unit: 2814

Title: SEMICONDUCTOR DEVICE HAVING TRENCH TOP ISOLATION LAYER
AND METHOD FOR FORMING THE SAME

Date: September 1, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION AND
PETITION FOR CONSIDERATION UNDER 37 C.F.R. §1.97

Sir:

In accordance with 37 C.F.R. § 1.56, the references cited on the attached Form PTO/SB/08A & B (modified) are being called to the attention of the Examiner for consideration with the examination of the patent application identified above.

Also enclosed are:

- 1) Form PTO-2038
- 2) A copy of each cited reference;
- 3) An English-language translation of the abstract for each foreign reference; and
- 4) A copy of the foreign office action disclosing the cited reference(s) dated June 8, 2004.

This information is being submitted subsequent to the earlier of the mailing of a final action or the notice of allowance, but before payment of the issue fee. Accordingly, pursuant to 37 C.F.R. §1.97(d) and (e), the undersigned submits the following certification and petition requesting consideration of this statement:

Certification under 37 C.F.R. § 1.97(e)

The undersigned hereby certifies:

- ☒ that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

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09/07/2004 AWONDAF1 00000002 10620869
180.00 DP
01 FC:1806

— that to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

Petition under 37 C.F.R. §1.97(d)

The undersigned hereby petitions that the Examiner consider the information cited in this Statement.

☒ please charge the credit card identified on attached form PTO-2038 in the amount of **\$180** to cover the petition fee under 37 C.F.R. §1.17(p).

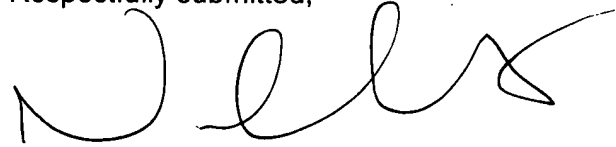
— please charge deposit account No. **502447** in the amount of **\$180** to cover the petition fee under 37 C.F.R. §1.17(p).

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom. The Examiner is further requested to return the attached Form PTO/SB/08A & B (modified) with initials or other appropriate marks indicating consideration of the cited materials.

Some documents may have markings on thereon. No significance is meant to be attached to the markings. Furthermore, no inference should be made that the information and references cited are, or are considered to be, material to patentability as defined by 37 C.F.R. § 1.56(b) merely because they are in this statement, and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

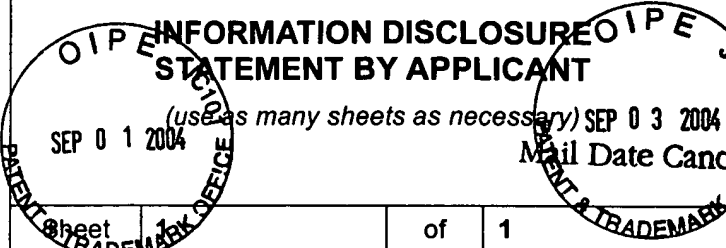
If any additional fee is required, please charge deposit account No. **502447**.

Respectfully submitted,



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			Complete if Known	
			Application Number	10/620,869
			Filing Date	July 16, 2003
			First Named Inventor	Yi-Nan CHEN
			Art Unit	2814
			Examiner Name	Trinh, Hoa B
Attorney Docket Number	10112481			

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number (Number – kind code)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Passages or Relevant Figures Appear
	A1				
	A2				
	A3				
	A4				
	A5				
	A6				
	A7				

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Document Number (Number – kind code)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Passages or Relevant Figures Appear	T
	B1	TW463295	11-11-2001			
	B2	TW459385	10-11-2001			
	B3	TW463286	11-11-2001			
	B4					

OTHER PRIOR ART			
Examiner Initials	Cite No.	Include name of author (in CAPS), title of article (when appropriate), title of the item (book, magazine, symposium, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	C1		
	C2		
	C3		
	C4		
	C5		

Form PTO/SB/08A & B (modified)

(v08/25/2002)

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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 Alexandria, VA 22313-1450